



HKU SPACE



財資市場公會
Treasury Markets Association

Professional Certificate in
TREASURY MARKETS
(Derivatives & Structured Products) Subject to approval

In collaboration with
Treasury Markets Association

The University of Hong Kong
School of Professional and Continuing Education
College of Business & Finance

<http://hkuspace.hku.hk/>

Professional Certificate in
TREASURY MARKETS
 (Derivatives & Structured Products)

INTRODUCTION

The objectives of the programme are to provide students with a broad-based introduction to basic operations of treasury markets in global financial sectors, fundamental principles and concepts in financial derivatives, the practical knowledge of financial structured products as well as to present the tools to analyze various structured products. The syllabus is designed to be relevant to the needs of today's treasury market practitioners and to keep pace with the requirements of rapidly changing treasury markets.

THE TREASURY MARKETS ASSOCIATION

The TMA is formed by institutionalising the previous Treasury Markets Forum of Hong Kong and the merger with the ACI-The Financial Markets Association of Hong Kong. The mission of the Treasury Markets Association (TMA) is to further promote the professionalism and competitiveness of the treasury markets in Hong Kong through the following functions:

- Developing appropriate codes and standards for the treasury markets
- Promoting market and product development
- Enhancing the professionalism of market practitioners
- Promoting the profile of Hong Kong as the preferred hub for treasury market businesses in the Asia Pacific region

AWARD

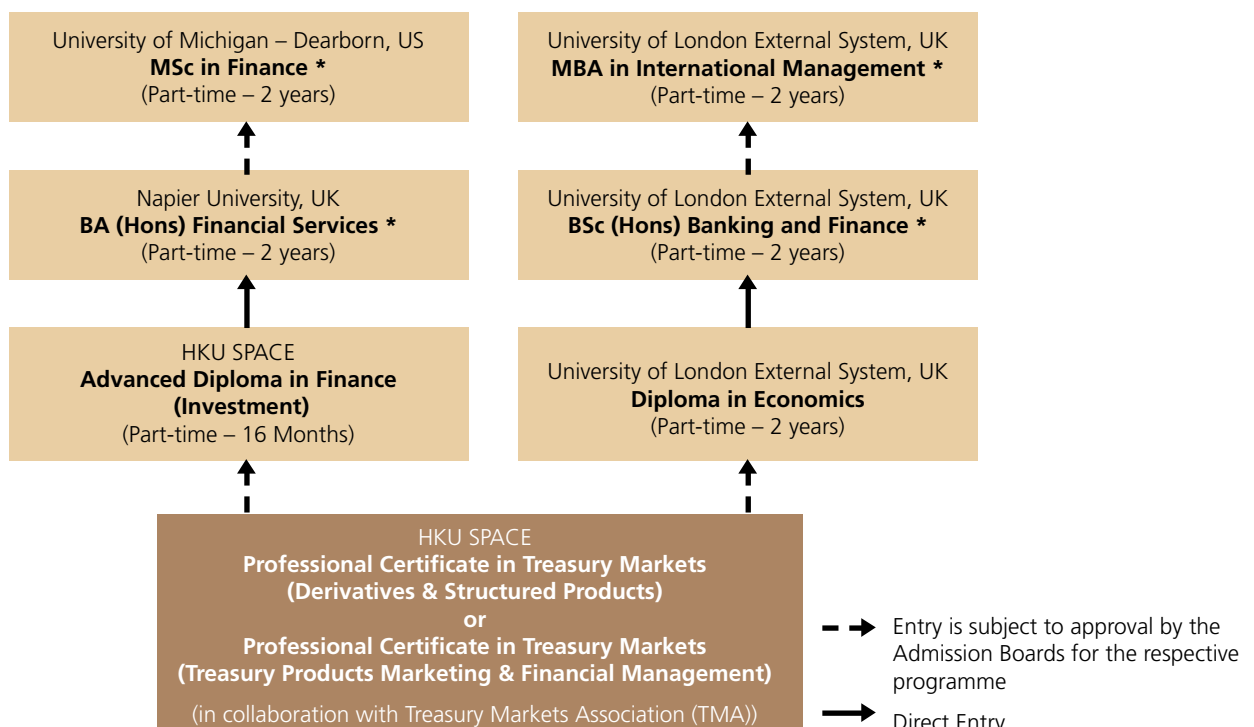
This programme leads to a Professional Certificate in Treasury Markets (Derivatives & Structured Products) awarded within HKU system.

PROGRAMME STRUCTURE

The Professional Certificate in Treasury Markets (Derivatives and Structured Products) programme offers the following 2 courses to be studied over 4 months:

1. Fundamentals of Derivatives – 12 classes
2. Introduction to Structured Products – 12 classes

HKU SPACE PROGRAMMES IN FINANCE



* This is an exempted course under the Non-local Higher and Professional Education (Regulation) Ordinance. It is a matter of discretion for individual employers to recognise any qualification to which this course may lead.

ENTRY REQUIREMENTS

Applicants should:

1. a. have 5 passes in the HKCEE including English and Mathematics or equivalent, plus a continuous 2 years of relevant working experience OR;
b. be aged at 23 and above with at least 2 years of relevant working experience.
2. Applicants with other relevant qualifications will be considered on individual merits.

ASSESSMENT

Students' performance in each course shall be assessed by both assignment and examination.

TEACHING MODE

Each course will be taught in a combination of face-to-face lectures, workshops, seminars and case study analysis.

MEDIUM OF INSTRUCTION

Courses are taught in English supplemented by Cantonese / Putonghua.

FEES

- | | |
|--|-----------|
| 1. Fundamentals of Derivatives | HK\$4,200 |
| 2. Introduction to Structured Products | HK\$4,200 |

Members of the Treasury Markets Association will enjoy a 10% discount on the total fees of the two modules.

The School is applying for listing these modules as CEF reimbursable courses.

COURSE OUTLINE

Fundamentals of Derivatives FN-60-805-01

This module covers the fundamental concepts and theories of derivatives. The risk management approach to the fundamentals and trading aspects of futures and options markets is emphasized. Topics include description and delivery of contracts, pricing mechanisms, trading methods (calls, puts, spreads), risk management application, option strategies and arbitrage. Students will participate in simulated trading in currency futures or stock options.

Introduction to Structured Products FN-60-805-02

Structured products are characterized by market expansion and are now part of the treasury mainstream. This module offers an overview of the contractual and financial elements of risk and structure in financial structured products. The elements of securitization, credit trading products, and equity derivative instruments are examined. Applications of analytical methods to structured products are also covered. Much of the course will involve participation in case studies to gain insights from real-world applications.

APPLICATION PROCEDURES

Applicants are required to complete the enclosed application form and submit together with relevant payment to any of the following offices:

- | | | |
|--|---|---|
| <p>(i) HKU SPACE Admiralty Learning Centre 3/F, Admiralty Centre, 18 Harcourt Road, Hong Kong (Exit A, Admiralty MTR Station) Weekdays: 8:30 am to 7:30 pm Saturdays: 8:30 am to 5:30 pm Telephone: 3761 1111 Fax: 2559 4666</p> | <p>(iv) HKU SPACE Fortress Tower Learning Centre 14/F., Fortress Tower, 250 King's Road, North Point Hong Kong (Exit B, Fortress Hill MTR Station) Weekdays: 8:30 am to 7:30 pm Saturdays: 8:30 am to 5:30 pm Telephone: 2570 9266 Fax: 2508 9349</p> | <p>(vii) HKU SPACE Kowloon East Campus 1/F, 28 Wang Hoi Road, Kowloon Bay, Kowloon (Exit B, Kowloon Bay MTR Station) Weekdays: 8:30 am to 7:30 pm Saturdays: 8:30 am to 5:30 pm Telephone: 3762 2222 Fax: 2205 5070</p> |
| <p>(ii) HKU SPACE HKU Campus 3/F, T.T. Tsui Bldg, The University of Hong Kong Pokfulam Road, Hong Kong Weekdays: 8:30 am to 6:00 p.m Saturdays: 8:30 am to 12:30 pm Telephone: 2975 5680 Fax: 2546 3538</p> | <p>(v) HKU SPACE Island East Campus 2/F, 494 King's Road, North Point Hong Kong (Exit B3, North Point MTR Station) Weekdays: 8:30 am to 7:30 pm Saturdays: 8:30 am to 5:30 pm Telephone: 2809 9888 Fax: 2214 9493</p> | |
| <p>(iii) HKU SPACE Wanchai Learning Centre 3/F, Causeway Centre, 28 Harbour Road, Wanchai Hong Kong (Exit A5, Wanchai MTR Station) Weekdays: 8:30 am to 7:30 p.m Saturdays: 8:30 am to 5:30 pm Telephone: 3416 6388 Fax: 2824 3025</p> | <p>(vi) HKU SPACE Kowloon West Campus 38-46, Nassau Street, Mei Foo Sun Chuen (Phase 6), Kowloon (Exit B, Mei Foo MTR Station) Weekdays: 8:30 am to 7:30 pm Saturdays: 8:30 am to 5:30 pm Telephone: 3762 4000 Fax: 2302 1609</p> | |

* Our Learning Centre staff are able to certify photocopies of the supporting documents.

CLOSING DATE OF APPLICATION

October 2008 intake: 26 September 2008 (Friday)

March 2009 intake: 23 March 2009 (Monday)

PROGRAMME ENQUIRIES

Tel: 2520 4612 Fax : 2861 2404 Email: salad.kwok@hkuspace.hku.hk





Professional Certificate in **TREASURY MARKETS**
(Derivatives & Structured Products)

PROPOSED INTAKE OF STUDY (please select box(es))

- October 2008 (81) | Fundamentals of Derivatives FN-60-805-01
- March 2009 (82) | Introduction to Structured Products FN-60-805-02

PERSONAL PARTICULARS

Name in Chinese

Name in English

Title * Mr / Mrs. / Ms. / Miss Sex * Male / Female

Date of Birth

HKID Card / Passport No.

Correspondence Address

Home Telephone

Mobile Phone

Office Telephone

Email Address

Fax Number

TMA Membership Number

Verified by TMA

* Please delete the inappropriate

ACADEMIC QUALIFICATION (in reverse chronological order)

| Institution | Award Received | Dates of attendance (From / To) | Part-time or Full-time |
|-------------|----------------|------------------------------------|------------------------|
| | | | |

Name
 Full Postal Address

← Please also complete this part
請填寫此部分

Note : This application acknowledgment is not complete without validation by official printing machine entry of the details above at the University or Town Centre office of the School of Professional and Continuing Education, The University of Hong Kong.

EMPLOYMENT HISTORY (in reverse chronological order)

| Name of Employer | Job Title | Date (From / To) |
|------------------|-----------|------------------|
| | | |

Equal Opportunities for Learning at HKU SPACE

It is the School policy to offer equal opportunities to all applicants with or without disabilities. To enable us to meet the needs of all students, you are invited to indicate on this form whether you require any special assistance. If you do need such assistance, the School may approach you subsequently to obtain further details so as to facilitate our planning and assess how best we can help you.

Special assistance required Yes No

Please be assured that any personal information you supply will be kept strictly confidential.

Notes

1. Personal Data provided in this form will be used for processing your application for admission, and for registration, academic, administrative, alumni management, research, statistical and marketing purposes. The data will be solely handled by HKU SPACE staff but may be transferred to an authorized third party providing services to the School in relation to the above purposes and prescribed purposes as allowed by HKU SPACE and the law from time to time. In all such circumstances data will be treated in strict confidence.
2. Applicants are advised to provide all the information requested in the application documents, where applicable, as otherwise the School may be unable to process and consider their applications. Upon enrolment, applicants will be required to submit a recent passport colour photo for student identification purpose.
3. Please refer to the HKU SPACE Prospectus and the official website for full details of enrolment procedures for HKU SPACE Programmes.
4. When the processing and consideration of all the applications for a particular programme have been completed:
 - (a) the application papers of unsuccessful candidates will be destroyed; and
 - (b) the application papers of successful candidates will be handled by HKU SPACE staff only or by staff of an authorized third party providing services to the School.
5. Under the provisions of the Personal Data (Privacy) Ordinance, applicants have rights to request access to, and to request the correction of, their personal data. Applicants wishing to access or make corrections to their data, should submit written requests to HKU SPACE.
6. All new enrolled students to the School will automatically become a member of the HKU SPACE ALUMNI. You may, however, contact the Alumni Affairs Office if you would like to de-register your membership.

Declaration

1. I declare that all information given in this application form and the attached documents are, to the best of my knowledge, accurate and complete.
2. I consent that if registered, I will conform to the Statutes and Regulations of the University and the rules of the School.
3. I have noted, understood and agreed the contents of these NOTES and HKU SPACE policy on personal data privacy.

Signature

Date

HKU School of Professional and Continuing Education

1. Enrolment can be done in person at any of the School's Enrolment Counters by completing this application form and submitting it with the appropriate course fee and relevant documents. You can also mail to the "HKU School of Professional and Continuing Education, 34/F, United Centre, 95 Queensway, Hong Kong", specifying "Course Application – Professional Certificate in Treasury Markets".
2. Fees paid by crossed cheque or bank draft should be made payable to "HKU SPACE".
3. For general and short courses, applicants may be required to pay the course fee in cash or by EPS if the course will start shortly.
4. If admission is on a first-come, first-served basis and if you do not hear from us before the course starts, you may assume that your application has been accepted, and should attend the course as scheduled.
5. If admission is by selection, then this receipt is not a guarantee that your application has been accepted. We will inform you of the result as soon as possible after the closing date for application. Unsuccessful applicants will be given a refund of course fee if already paid.
6. If you do not know the teaching venue within 3 days of the starting date of the course, please check with the relevant subject area.
7. Fees paid are not refundable except as statutorily provided or under very exceptional circumstances.