|財資市場公會 Treasury Markets Association

## Briefing on the new Professional Qualification Framework and the Code of Conduct and Practice 5:00 pm, 21 January 2011 (Friday)

Rm 5603, 56/F, IFC II, 8 Finance Street, Central, Hong Kong

| Topics &<br>Speakers | <ul> <li>Welcoming Remarks &amp; Background of developing the new PQF and<br/>TMA Code</li> <li>Mr. Lawrence Lam, Executive Board Member &amp; Chairman of Market<br/>Practices Committee, TMA</li> <li>Draft SPM Module on Competence and Ethical Behaviour</li> <li>Mr. Daryl Ho, Executive Board Member, TMA</li> <li>TMA's new Professional Qualification Framework (PQF)</li> <li>Mr. Philip Lau, Executive Board Member &amp; Chairman of Professional<br/>Membership Committee, TMA</li> <li>The Code of Conduct and Practice</li> <li>Mr. Justin Chan, Convenor of the Working Group on the TMA Code &amp;<br/>Member of Market Development Committee, TMA</li> </ul> |
|----------------------|---|
| Language             | English   |
| CPT Credit           | No CPT credit will be given for the briefing session  |
| Fee                  | Free-of-Charge  |
| Enquiry              | (852) 2543 7668   |

## **REGISTRATION FORM**

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F:00 pm 21 January 2011 (Eriday)

|                | 5.00 pm, 21 January 2011 (Friday)   |
|----------------|---|
| (Mr. / Ms.)    | TMA Membership  |
| Full Name:     | No. (if applicable):  |
| Company:       | Position:   |
| Telephone No.: | Department:   |
| Mobile Phone:  | E-mail Address:   |
|                | Please return the completed registration form by fax to (852) 2815 9931<br>Deadline for Registration: Sunday, 16 January 2011 |

TMA Office, Treasury Markets Association Unit 1603, 16/F, Fu Fai Commercial Centre, 27 Hillier Street Sheung Wan, Hong Kong